

<b>Notice of Allowability</b>	<b>Application No.</b>	<b>Applicant(s)</b>	
	09/895,563	HARPALE, MAHESH	
	Examiner Naeem Haq	Art Unit 3625	

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--**

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1.  This communication is responsive to 10/18/2004.
2.  The allowed claim(s) is/are 3 and 4.
3.  The drawings filed on 6/30/2001 are accepted by the Examiner.
4.  Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
  - a)  All
  - b)  Some\*
  - c)  None
 of the:
  1.  Certified copies of the priority documents have been received.
  2.  Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3.  Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

\* Certified copies not received: \_\_\_\_\_.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.  
**THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.**

5.  A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
6.  CORRECTED DRAWINGS ( as "replacement sheets") must be submitted.
  - (a)  including changes required by the Notice of Draftsperson's Patent Drawing Review ( PTO-948) attached
    - 1)  hereto or 2)  to Paper No./Mail Date \_\_\_\_\_.
  - (b)  including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date \_\_\_\_\_.

Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
7.  DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

**Attachment(s)**

1.  Notice of References Cited (PTO-892)
2.  Notice of Draftsperson's Patent Drawing Review (PTO-948)
3.  Information Disclosure Statements (PTO-1449 or PTO/SB/08),  
Paper No./Mail Date \_\_\_\_\_
4.  Examiner's Comment Regarding Requirement for Deposit  
of Biological Material
5.  Notice of Informal Patent Application (PTO-152)
6.  Interview Summary (PTO-413),  
Paper No./Mail Date \_\_\_\_\_.
7.  Examiner's Amendment/Comment
8.  Examiner's Statement of Reasons for Allowance
9.  Other \_\_\_\_\_.

## DETAILED ACTION

### EXAMINER'S AMENDMENT

An Examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to Applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this Examiner's amendment was given in a telephone interview with Applicant Mahesh Harpale on January 19, 2005 and January 24, 2005.

Enter new claim 3 as follows:

A method for displaying rating analysis in an on-line transaction by capturing, and analyzing data relating to trade actions for past as well current on-line transaction across multiple independent marketplaces with uniform rating criteria, comprising the steps of:

- (a) recognizing using a computerized system, across a plurality of independent electronic marketplaces, credentials of a participant in said on-line transaction;
- (b) validating and recording trade actions of said participant during said on-line transaction for an electronic marketplace independently and transparently, using said computerized system;

- (c) storing and maintaining recorded trade action data for plurality of on-line transactions across plurality of independent electronic marketplaces, using said computerized system;
- (d) analyzing, and correlating trade actions and transaction patterns from recorded data of said on-line transactions across said plurality of independent electronic marketplaces, using said computerized system;
- (e) creating a rating analysis of said participant by utilizing uniform criteria across said plurality of independent electronic marketplaces and said analyzed and correlated trade actions and transaction patterns of said on-line transactions across said plurality of independent electronic marketplaces, using said computerized system; and
- (f) disclosing said rating analysis of said participant across said plurality of independent electronic marketplaces, using said computerized system, said rating analysis disclosing credibility of said participant to make an online trade.

Enter new claim 4 as follows:

A credibility reporting system comprising:

- (a) a computer processor usable medium accessible for public or private electronic communication comprising:
  - (1) a computer processor readable program embodied therein for providing an electronic transaction; and

(2) a computer processor readable program embodied therein for providing

notification of a trade action in said electronic transaction;

(b) a computer processor usable medium accessible for public or private electronic communication comprising:

(1) a computer processor readable program embodied therein for recognizing credentials of a participant in a trade action across a plurality of independent electronic marketplaces;

(2) a computer processor readable program embodied therein for providing record of said trade actions of said participant;

(3) a computer processor readable program embodied therein for analyzing and correlating recorded trade actions and transaction patterns from recorded data of said trade actions across said plurality of independent electronic marketplaces;

(4) a computer processor readable program embodied therein for creating a rating analysis of said participant by utilizing uniform criteria across said plurality of independent electronic marketplaces and said analyzed and correlated trade actions across said plurality of independent electronic marketplaces; and

(5) a computer processor readable program embodied therein for disclosing said rating analysis of said participant across said plurality of independent electronic marketplaces, said rating analysis disclosing credibility of said participant to make an online trade.

***Allowable Subject Matter***

Claims 3 and 4 are allowed.

The following is the Examiner's statement of reasons for allowance: Statement of allowance is in reference to independent claims 3 and 4. There are no other claims.

The present application is directed to a method and system that creates and displays a credibility rating for a merchant across multiple independent electronic marketplaces. In particular, the independent claims 3 and 4 recite the patentably distinct feature of creating a rating analysis of a participant from transaction data collected across multiple independent electronic marketplaces and displaying the rating analysis across the independent electronic marketplaces.

Discussion of most relevant prior art:

i) US Patent 6,415,270 B1 to Rackson et al. hereinafter referred to as Rackson.

Rackson discloses a system and method for coordinating an auction for an item between a plurality of auction services and a plurality of bidders. Rackson fails to teach or suggest creating a rating analysis of a participant from transaction data collected across multiple independent electronic marketplaces and displaying the rating analysis across the independent electronic marketplaces.

ii) Dialog File:148, Accession #: 11369168 hereinafter referred to as Dialog. Dialog discloses a reputation rating system developed by eBay to assist buyers in determining whether a seller is reputable. Dialog fails to teach or suggest creating a rating analysis

of a participant from transaction data collected across multiple independent electronic marketplaces and displaying the rating analysis across the independent electronic marketplaces.

iii) WO 2004/097550 A2 to Qureshi et al. hereinafter referred to as Qureshi. Qureshi discloses an electronic auction that allows customers to name their price for catering services and parties. Qureshi also discloses providing merchant ratings. However, Qureshi fails to teach or suggest creating a rating analysis of a participant from transaction data collected across multiple independent electronic marketplaces and displaying the rating analysis across the independent electronic marketplaces.

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Naeem Haq whose telephone number is (703)-305-3930. The examiner can normally be reached on M-F 8:00am-5:00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Wynn W. Coggins can be reached on (703)-308-1344. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



**Naeem Haq**, Patent Examiner  
Art Unit 3625

January 24, 2005



LYNN W. COGGINS  
SUPERVISORY PATENT EXAMINER  
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